

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Mukesh K. PATEL, et al. )  
Application No.: to be assigned )  
Filed: concurrently herewith ) Group Art Unit: [prior application:  
For: JAVA VIRTUAL MACHINE HARDWARE ) C. Das]  
FOR RISC AND CISC PROCESSORS ) 2122]

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the application wherein a request for continuation  
application is concurrently filed, please amend the application as follows:

In the Claims:

Please cancel claims 1-36.

Please add new claims 37-237 as follows.

- 1       37. A system comprising:  
2           a central processing unit having a register file, the central processing unit adapted to  
3           execute register-based instructions; and  
4           a hardware unit associated with the central processing unit, the hardware unit  
5           adapted to convert Java bytecode instructions into register-based instructions, wherein the  
6           hardware unit is adapted to store at least one Java variable in the central processing unit's  
7           register file at a location separate from any operand stack, wherein at least one of the  
8           register-based instructions reference a register in the central processing unit's register file  
9           containing one of the at least one Java variable.



21839

1           38. The system of claim 37, wherein the central processing unit includes the  
2 hardware unit.

1           39. The system of claim 37, wherein the hardware unit is outside of the central  
2 processing unit.

1           40. The system of claim 37, wherein a portion of the operand stack is stored in  
2 the register file of the central processing unit and wherein the hardware unit is adapted to  
3 produce at least one of overflow or underflow indications for the portion of the operand  
4 stack stored in the register file.

1           41. The system of claim 37, wherein the hardware unit is further adapted to  
2 store at least some Java registers in the register file.

1           42. The system of claim 37, wherein the hardware unit implements at least part  
2 of a Java virtual machine.

1           43. The system of claim 37, wherein the hardware unit is connected between a  
2 memory and the central processing unit.

1           44. The system of claim 37, wherein the hardware unit is adapted to examine the  
2 stack-based instructions to determine whether multiple stack-based instructions can be  
3 combined into fewer register-based instructions.

1           45. The system of claim 44, wherein the hardware unit produces register-based  
2 instructions that access the Java variables in the register file so as to reduce the number of  
3 register-based instructions that would otherwise be required.



**21839**

(09/99)

4        46. The system of claim 44, wherein multiple stack-based instructions pass  
5        through the hardware unit concurrently to allow for the operation of the combining logic.

1        47. The system of claim 44, wherein the hardware unit is adapted to convert  
2        multiple Java bytecodes into a single register-based instruction.

3        48. The system of claim 37, wherein the hardware unit produces an exception upon  
4        at least one of the stack-based instructions, and wherein the central processing unit will, in  
5        software, translate the at least one of the stack-based instructions causing the exception.

6        49. The system of claim 37, wherein the hardware unit is adapted to swap Java  
7        variables in and out of the register file from a memory.

8        50. The system of claim 37, wherein the hardware unit includes logic that keeps  
9        track of Java Variables stored in the register file and when a Java bytecode to be translated  
10      references a Java Variable stored in a register of the register file, the hardware unit  
11      produces an indication of that register to be used in the translation process.

12      51. The system of claim 37, wherein the hardware unit keeps track of which  
13      registers in the register file contain Java variables, the meaning of the registers being able  
14      to change as a result of an executed instruction.

15      52. A system comprising:  
16            a central processing unit having a register file, the central processing unit adapted to  
17            execute register-based instructions; and  
18            a hardware unit associated with the central processing unit, the hardware unit  
19            adapted to convert Java bytecode instructions into register-based instructions, wherein the



**21839**

(09/99)

6 hardware unit is adapted to store at least one Java register in the central processing unit's  
7 register file, wherein at least one of the register-based instructions reference a register in  
8 the central processing unit's register file containing one of the at least one Java register, the  
9 at least one Java Register including the Java Program Counter.

1 53. The system of claim 52, wherein the central processing unit includes the  
2 hardware unit.

3 54. The system of claim 52, wherein the hardware unit is outside of the central  
4 processing unit.

5 55. The system of claim 52, wherein the central processing unit includes the  
6 hardware unit.

7 56. The system of claim 52, wherein the hardware unit is outside of the central  
8 processing unit.

9 57. The system of claim 52, wherein a portion of the operand stack is stored in  
1 the register file of the central processing unit and wherein the hardware unit is adapted to  
2 produce at least one of overflow or underflow indications for the portion of the operand  
3 stack stored in the register file.

1 58. The system of claim 52, wherein the hardware unit is further adapted to  
2 store at least some Java variables in the register file.

1 59. The system of claim 52, wherein the hardware unit implements at least part  
2 of a Java virtual machine.



**21839**

(09/99)

1           60. The system of claim 52, wherein the hardware unit is connected between a  
2 memory and the central processing unit.

1           61. The system of claim 52, wherein the hardware unit is adapted to examine the  
2 stack-based instructions to determine whether multiple stack-based instructions can be  
3 combined into fewer register-based instructions.  
  
1           62. The system of claim 61, wherein the hardware unit produces register-based  
2 instructions that access the Java registers in the register file so as to reduce the number of  
3 register-based instructions that would otherwise be required.

1           63. The system of claim 61, wherein multiple stack-based instructions pass  
2 through the hardware unit concurrently to allow for the operation of the combining logic.

1           64. The system of claim 52, wherein the hardware unit is adapted to convert  
2 multiple Java bytecodes into a single register-based instruction.

1           65. The system of claim 52, wherein the hardware unit produces an exception  
2 upon at least one of the stack-based instructions, and wherein the central processing unit  
3 will, in software, translate the at least one of the stack-based instructions causing the  
4 exception.

1           66. The system of claim 52, wherein the hardware unit is adapted to swap Java  
2 registers in and out of the register file from a memory.



**21839**

(09/99)

1           67. A central processing unit comprising:  
2           an input adapted to receive Java bytecode instructions;  
3           a register file adapted to be manipulated using register-based instructions; and  
4           a hardware subunit adapted to convert Java instructions into register-based  
5           instructions, wherein the hardware subunit is adapted to store at least one Java variable in  
6           the register file at a location separate from any operand stack, wherein at least one of the  
7           register-based instructions reference a register in the central processing unit's register file  
8           containing one of the at least one Java variable.

1           68. A central processing unit comprising:  
2           an input adapted to receive Java bytecode instructions;  
3           a register file adapted to be manipulated using register-based instructions; and  
4           a hardware subunit adapted to convert Java instructions into register-based  
5           instructions, wherein the hardware subunit is adapted to store at least one Java register in  
6           the register file, wherein at least one of the register-based instructions reference a register  
7           in the central processing unit's register file containing one of the at least one Java register,  
8           the at least one Java Register including the Java Program Counter.

1           69. A system comprising:  
2           a first unit adapted to execute register-based instructions, the first unit having an  
3           associated register file; and  
4           a hardware unit associated with the first unit, the hardware unit adapted to convert  
5           stack-based instructions into register-based instructions, the hardware unit adapted to store  
6           portions of the operand stack in the first unit's register file, wherein the hardware unit  
7           includes logic to keep a count of how many entries have been placed on the operand stack.



**21839**

(09/99)

1               70. The system of claim 69, wherein the first unit and the hardware unit are part of  
2               a central processing unit.

1        71. The system of claim 69, wherein the first unit comprises a central processing  
2 unit and wherein the hardware unit is outside of the central processing unit.

72. The system of claim 69, wherein a portion of the operand stack is stored in the register file of the first unit and wherein the hardware unit is adapted to produce at least one of overflow or underflow indications for the portion of the operand stack stored in the register file.

1           73. The system of claim 69, wherein the hardware unit is further adapted to  
2           store at least some Java registers in the register file.

74. The system of claim 69, wherein the hardware unit implements at least part of a Java virtual machine.

1           75. The system of claim 69, wherein the hardware unit is connected between a  
2       memory and the first unit.

1               76. The system of claim 69, wherein the hardware unit is adapted to manage a  
2 Java stack.

1           77 . The system of claim 69, wherein the hardware unit is adapted to examine the  
2 stack-based instructions to determine whether multiple stack-based instructions can be  
3 combined into fewer register-based instructions.



21839

(09/99)

1           78. The system of claim 77, wherein the hardware unit produces register-based  
2 instructions that access the portion of the operand stack in the register file so as to reduce  
3 the number of register-based instructions that would otherwise be required.

1           79. The system of claim 77, wherein multiple stack-based instructions pass  
2 through the hardware unit concurrently to allow for the operation of the combining logic.

1           80. The system of claim 69, wherein the hardware unit is adapted to convert  
2 multiple Java bytecodes into a single register-based instruction.

1           81. The system of claim 80, wherein the multiple Java bytecodes include a basic  
2 operand instruction and one or more stack manipulation instructions.

1           82. The system of claim 80, wherein the multiple Java bytecodes includes a load  
2 or store instruction.

1           83. The system of claim 69, wherein the hardware unit produces an exception  
2 upon at least one of the stack-based instructions, and wherein the central processing unit  
3 will, in software, translate the at least one of the stack-based instructions causing the  
4 exception.

1           84. The system of claim 69, wherein the hardware unit is adapted to swap  
2 portions of the operand stack in and out of the register file from a memory.

1           85. The system of claim 69, wherein the hardware unit includes an indication of  
2 the depth of the portion of operand stack stored in the register file.



**21839**

(09/99)

1        86. The system of claim 69, wherein the hardware unit includes logic to keep a  
2 count of how many entries have been placed on the operand stack.

1        87. The system of claim 69, wherein the hardware unit includes logic that keeps  
2 track of portions of the Java operand stack stored in the first unit's register file and when a  
3 Java bytecode to be translated references an element of the Java operand stack stored in a  
4 register of the first unit's register file, the hardware unit produces an indication of that  
5 register to be used in the translation process.

1        88. The system of claim 69, wherein the hardware unit keeps track of a top of  
2 stack register location, wherein the top of stack register in the first unit's register file is not  
3 fixed and can change as a result of an executed instruction.

1        89. The system of claim 69, wherein a portion of the Java operand stack or Java  
2 variables are stored in the register file of the first unit, wherein the hardware unit keeps  
3 track of which registers in the first unit's register file contains portions of the Java operand  
4 stack or Java variables, the meaning of the registers being able to change as a result of an  
5 executed instruction.

1        90. The central processing unit of claim 69, wherein an overflow or underflow  
2 produces operand transfer between the register file in the central processing unit and  
3 memory.

1        91. The central processing unit of claim 69, wherein the registers of the register  
2 file of the central processing unit used to store the portion of operand stack is full of valid  
3 data.



**21839**

(09/99)

1           92.     The central processing unit of claim 69, wherein the at least one of the  
2        overflow or underflow indications is generated by a stack instruction pushing an operand or  
3        popping the operand from the operand stack.

1           93.     A system comprising:  
2        a first unit adapted to execute register-based instructions, the first unit having an  
3        associated register file; and  
4        a hardware unit associated with the first unit, the hardware unit adapted to convert  
5        Java bytecodes into register-based instructions, wherein the hardware unit includes logic  
6        that keeps track of portions of the Java operand stack or Java Variables stored in the first  
7        unit's register file and when a Java bytecode to be translated references an element of the  
8        Java operand stack or Java Variable stored in a register of the first unit's register file, the  
9        hardware unit produces an indication of that register to be used in the translation process.

1           94.     The system of claim 93, wherein the first unit and the hardware unit are part  
2        of a central processing unit.

1           95.     The system of claim 93, wherein the first unit comprises a central processing  
2        unit and wherein hardware unit is outside of the central processing unit.

1           96.     The system of claim 93, wherein a portion of the operand stack is stored in  
2        the register file of the first unit and wherein the hardware unit is adapted to produce at least  
3        one of overflow or underflow indications for the portion of the operand stack stored in the  
4        register file.

1           97.     The system of claim 93, wherein the hardware unit is further adapted to  
2        store at least some Java registers in the register file.



**21839**

(09/99)

1           98. The system of claim 93, wherein the hardware unit implements at least part  
2 of a Java virtual machine.

1           99. The system of claim 93, wherein the hardware unit is connected between a  
2 memory and the first unit.

1           100. The system of claim 93, wherein the hardware unit is adapted to examine the  
2 stack-based instructions to determine whether multiple stack-based instructions can be  
3 combined into fewer register-based instructions.

1           101. The system of claim 100, wherein the hardware unit produces register-based  
2 instructions that access the portion of the operand stack in the register file so as to reduce  
3 the number of register-based instructions that would otherwise be required.

1           102. The system of claim 100, wherein multiple stack-based instructions pass  
2 through the hardware unit concurrently to allow for the operation of the combining logic.

1           103. The system of claim 93, wherein the hardware unit is adapted to convert  
2 multiple Java bytecodes into a single register-based instruction.

1           104. The system of claim 103, wherein the multiple Java bytecodes include a  
2 basic operand instruction and one or more stack manipulation instructions.

1           105. The system of claim 103, wherein the multiple Java bytecodes includes a  
2 load or store instruction.



**21839**

(09/99)

1           106. The system of claim 93, wherein the hardware unit produces an exception  
2       upon at least one of the stack-based instructions, and wherein the first unit will, in  
3       software, translate the at least one of the stack-based instructions causing the exception.

1           107. The system of claim 93, wherein the hardware unit is adapted to swap Java  
2       variables or portions of the operand stack in and out of the register file from a memory.

1           108. The system of claim 93, wherein the hardware unit includes an indication of  
2       the depth of the portion of operand stack stored in the register file.

1           109. The system of claim 93, wherein the hardware unit includes logic to keep a  
2       count of how many entries have been placed on the operand stack.

1           110. The system of claim 93, wherein the hardware unit includes logic that keeps  
2       track of Java Variables stored in the first unit's register file and when a Java bytecode to be  
3       translated references a Java Variable stored in a register of the first unit's register file, the  
4       hardware unit produces an indication of that register to be used in the translation process.

5           111. The system of claim 93, wherein the hardware unit includes logic that keeps  
6       track of portions of the Java operand stack stored in the first unit's register file and when a  
7       Java bytecode to be translated references an element of the Java operand stack stored in a  
8       register of the first unit's register file, the hardware unit produces an indication of that  
9       register to be used in the translation process.

1           112. The system of claim 93, wherein the hardware unit keeps track of a top of  
2       stack register location, wherein the top of stack register in the first unit's register file is not  
3       fixed and can change as a result of an executed instruction.



**21839**

(09/99)

4           113. The system of claim 93, wherein the hardware unit keeps track of which  
5 registers in the first unit's register file contains portions of the Java operand stack or Java  
6 variables, the meaning of the registers being able to change as a result of an executed  
instruction.

1           114. A system comprising:  
2           a first unit adapted to execute register-based instructions, the first unit having an  
3 associated register file; and

4           a hardware unit associated with the first unit, the hardware unit adapted to convert  
5 Java bytecodes into register-based instructions, wherein the hardware unit includes logic  
6 that keeps track of Java Variables stored in the first unit's register file and when a Java  
7 bytecode to be translated references a Java Variable stored in a register of the first unit's  
8 register file, the hardware unit produces an indication of that register to be used in the  
9 translation process.

1           115. The system of claim 114, wherein the first unit and the hardware unit are  
2 part of a central processing unit.

1           116. The system of claim 114, wherein the first unit comprises a central  
2 processing unit and wherein the hardware unit is outside of the central processing unit.

1           117. The system of claim 114, wherein the hardware unit is further adapted to  
2 store at least some Java registers in the register file.

1           118. The system of claim 114, wherein the hardware unit is adapted to swap Java  
2 variables in and out of the register file from a memory.

1           119. A system comprising:



**21839**

(09/99)

2           a first unit adapted to execute register-based instructions, the first unit having an  
3           associated register file; and  
4           a hardware unit associated with the first unit, the hardware unit adapted to convert  
5           Java bytecodes into register-based instructions, wherein the hardware unit includes logic  
6           that keeps track of portions of the Java operand stack stored in the first unit's register file  
7           and when a Java bytecode to be translated references an element of the Java operand stack  
8           stored in a register of the first unit's register file, the hardware unit produces an indication  
9           of that register to be used in the translation process.

10           120. The system of claim 119, wherein the first unit and the hardware unit  
11           comprise a central processing unit.

12           121. The system of claim 119, wherein the first unit comprises a central  
13           processing unit and wherein the hardware unit is outside of the central processing unit.

14           122. The system of claim 119, wherein a portion of the operand stack is stored in  
15           the register file of the central processing unit and wherein the hardware unit is adapted to  
16           produce at least one of overflow or underflow indications for the portion of the operand  
17           stack stored in the register file.

18           123. The system of claim 119, wherein the hardware unit is adapted to examine  
19           the stack-based instructions to determine whether multiple stack-based instructions can be  
20           combined into fewer register-based instructions.

21           124. The system of claim 119, wherein the hardware unit produces register-based  
22           instructions that access the portion of the operand stack in the register file so as to reduce  
23           the number of register-based instructions that would otherwise be required.



**21839**

1           125. The system of claim 119, wherein multiple stack-based instructions pass  
2 through the hardware unit concurrently to allow for the operation of the combining logic.

1           126. The system of claim 119, wherein the hardware unit is adapted to convert  
2 multiple Java bytecodes into a single register-based instruction.

1           127. The system of claim 119, wherein the multiple Java bytecodes include a  
2 basic operand instruction and one or more stack manipulation instructions.

1           128. The system of claim 119, wherein the hardware unit includes an indication  
2 of the depth of the portion of operand stack stored in the register file.

1           129. The system of claim 119, wherein the hardware unit includes logic to keep a  
2 count of how many entries have been placed on the operand stack.

1           130. The system of claim 119, wherein the hardware unit includes logic that keeps  
2 track of portions of the Java operand stack stored in the first unit's register file and when a  
3 Java bytecode to be translated references an element of the Java operand stack stored in a  
4 register of the first unit's register file, the hardware unit produces an indication of that  
5 register to be used in the translation process.

1           131. The system of claim 119, wherein the hardware unit keeps track of a top of  
2 stack register location, wherein the top of stack register in the first unit's register file is not  
3 fixed and can change as a result of an executed instruction.

1           132. The system of claim 119, wherein a portion of the Java operand stack or  
2 Java variables are stored in the register file of the first unit, wherein the hardware unit



**21839**

(09/99)

3       keeps track of which registers in the first unit's register file contains portions of the Java  
4       operand stack or Java variables, the meaning of the registers being able to change as a  
5       result of an executed instruction.

1           133. A system comprising:

2           a first unit adapted to execute register-based instructions, the first unit having an  
3       associated register file; and  
4           a hardware unit associated with the first unit, the hardware unit adapted to convert  
5       Java bytecodes into register-based instructions, wherein a portion of the operand stack is  
6       stored in the register file of the first unit, wherein the hardware unit keeps track of a top of  
7       stack register location, wherein the top of stack register in the first unit's register file is not  
8       fixed and can change as a result of an executed instruction.

1           134. The system of claim 133, wherein the first unit and the hardware unit  
2       includes the central processing unit.

1           135. The system of claim 133, wherein the first unit comprises a central  
2       processing unit and wherein the hardware unit is outside of the central processing unit.

1           136. The system of claim 133, wherein the hardware unit is adapted to produce at  
2       least one of overflow or underflow indications for the portion of the operand stack stored in  
3       the register file.

1           137. The system of claim 133, wherein the hardware unit is adapted to examine  
2       the stack-based instructions to determine whether multiple stack-based instructions can be  
3       combined into fewer register-based instructions.



**21839**

(O9/99)

1           138. The system of claim 137, wherein the hardware unit produces register-based  
2 instructions that access the portion of the operand stack in the register file so as to reduce  
3 the number of register-based instructions that would otherwise be required.

1           139. The system of claim 137, wherein multiple stack-based instructions pass  
2 through the hardware unit concurrently to allow for the operation of the combining logic.

1           140. The system of claim 133, wherein the hardware unit is adapted to convert  
2 multiple Java bytecodes into a single register-based instruction.

1           141. The system of claim 133, wherein the hardware unit produces an exception  
2 upon at least one of the stack-based instructions, and wherein the central processing unit  
3 will, in software, translate the at least one of the stack-based instructions causing the  
4 exception.

1           142. The system of claim 133, wherein the hardware unit includes an indication  
2 of the depth of the portion of operand stack stored in the register file.

1           143. The system of claim 133, wherein the hardware unit includes logic to keep a  
2 count of how many entries have been placed on the operand stack.

1           144. The system of claim 133, wherein the hardware unit includes logic that keeps  
2 track of portions of the Java operand stack stored in the first unit's register file and when a  
3 Java bytecode to be translated references an element of the Java operand stack stored in a  
4 register of the first unit's register file, the hardware unit produces an indication of that  
5 register to be used in the translation process.



**21839**

(09/99)

1           145. The system of claim 133, wherein the hardware unit keeps track of which  
2 registers in the first unit's register file contains portions of the Java operand stack or Java  
3 variables, the meaning of the registers being able to change as a result of an executed  
4 instruction.

1           146. A system comprising:  
2           a first unit adapted to execute register-based instructions, the first unit having an  
3 associated register file; and  
4           a hardware unit associated with the first unit, the hardware unit adapted to convert  
5 Java bytecodes into register-based instructions, wherein a portion of the Java operand stack  
6 or Java variables are stored in the register file of the first unit, wherein the hardware unit  
7 keeps track of which registers in the first unit's register file contains portions of the Java  
8 operand stack or Java variables, the meaning of the registers being able to change as a  
9 result of an executed instruction.

1           147. The system of claim 146, wherein a top of stack register in the first unit's  
2 register file is not fixed and can change as a result of an executed instruction.

1           148. The system of claim 146, wherein the first unit and the hardware unit  
2 comprise a central processing unit.

1           149. The system of claim 146, wherein the first unit comprises a central  
2 processing unit and the hardware unit is outside of the central processing unit.

1           150. The system of claim 146, wherein the hardware unit is adapted to produce at  
2 least one of overflow or underflow indications for the portion of the operand stack stored in  
3 the register file.



**21839**

(09/99)

1           151. The system of claim 146, wherein the hardware unit is adapted to examine  
2 the stack-based instructions to determine whether multiple stack-based instructions can be  
3 combined into fewer register-based instructions.

1           152. The system of claim 151, wherein the hardware unit produces register-based  
2 instructions that access the portion of the operand stack in the register file so as to reduce  
3 the number of register-based instructions that would otherwise be required.

1           153. The system of claim 151, wherein multiple stack-based instructions pass  
2 through the hardware unit concurrently to allow for the operation of the combining logic.

1           154. The system of claim 146, wherein the hardware unit is adapted to convert  
2 multiple Java bytecodes into a single register-based instruction.

1           155. The system of claim 154, wherein the multiple Java bytecodes include a  
2 basic operand instruction and one or more stack manipulation instructions.

1           156. The system of claim 146, wherein the hardware unit includes an indication  
2 of the depth of the portion of operand stack stored in the register file.

1           157. The system of claim 146, wherein the hardware unit includes logic to keep a  
2 count of how many entries have been placed on the operand stack.

1           158. The system of claim 146, wherein the hardware unit includes logic that keeps  
2 track of portions of the Java operand stack stored in the first unit's register file and when a  
3 Java bytecode to be translated references an element of the Java operand stack stored in a



**21839**

(09/99)

4 register of the first unit's register file, the hardware unit produces an indication of that  
5 register to be used in the translation process.

1           159. A system comprising:

2           a first unit adapted to execute register-based instructions, the first unit having at  
3 least two associated register files of registers, the first set of register files used for normal  
4 operation, the second set of register files used for operation in a hardware translation mode;  
5 and

6           a hardware unit associated with the first unit, the hardware unit adapted to convert  
7 stack-based instructions into register-based instructions during the hardware translation  
8 mode.

1           160. A system comprising:

2           a first unit adapted to execute register-based instructions, the first unit adapted to  
3 produce a "branch taken" indication; and

4           a hardware unit associated with the first unit, the hardware unit adapted to convert  
5 stack-based instructions into register-based instructions, the hardware unit containing  
6 multiple pipeline stages, the pipeline stages being flushed when the "branch taken"  
7 indication is produced.

1           161. A system comprising:

2           a first unit adapted to execute register-based instructions; and  
3           a hardware unit associated with the first unit, the hardware unit adapted to convert  
4 stack-based instructions into register-based instructions, the hardware unit including a  
5 stack-based instruction buffer associated with a decode unit.



**21839**

(09/99)

1           162. The system of claim 161, wherein decode unit can select multiple stack-  
2 based instructions to decode at one time.

1           163. A system comprising:  
2           a first unit adapted to execute register-based instructions; and  
3           a hardware unit associated with the first unit, the hardware unit adapted to convert  
4 stack-based instructions into register-based instructions, the hardware unit including a  
5 stack-based instruction buffer associated with a decode unit, the hardware unit adapted to  
6 rearrange the stack-based instructions in the buffer to make them easier to be operated on  
7 by a decode unit.

1           164. The system of claim 164 wherein decode unit can select multiple stack-based  
2 instructions to decode at one time.

1           165. The system of claim 164 wherein the any stack-based instruction or  
2 instructions sent to the decoder unit for translation are removed from the stack-based  
3 instruction buffer and new stack-based instruction or instructions added to the buffer.

1           166. A system comprising:  
2           a first unit adapted to execute register-based instructions; and  
3           a hardware unit associated with the first unit, the hardware unit adapted to convert  
4 stack-based instructions into register-based instructions, wherein certain instructions are not  
5 translated in the hardware unit but instead cause translation software to be loaded into the  
6 first unit.



**21839**

(09/99)

1           167. A system comprising:  
2            a central processing unit having a register file, the central processing unit adapted to  
3            execute register-based instructions; and  
4            a hardware unit associated with the central processing unit, the hardware unit  
5            adapted to convert stack-based instructions into register-based instructions, wherein a  
6            portion of the operand stack is stored in the register file of the central processing unit and  
7            wherein the hardware unit is adapted to produce at least one of overflow or underflow  
8            indications for the portion of the operand stack stored in the register file.

1           168. The system of claim 167, wherein the central processing unit includes the  
2           hardware unit.

1           169. The system of claim 167, wherein the hardware unit is outside of the central  
2           processing unit.

1           170. The system of claim 167, wherein the hardware unit is adapted to swap parts  
2           of the operand stack in and out of the register file from a memory.

1           171. The system of claim 167, wherein the system includes an indication of the  
2           depth of the portion of operand stack stored in the register file.

1           172. The system of claim 167, wherein the indication of the operand stack depth  
2           is stored in the hardware unit.

1           173. The system of claim 167, wherein a overflow or underflow produces  
2           operand transfer between the register file in the central processing unit and memory.



**21839**

(09/99)

1           174. The system of claim 167, wherein, the stack based instructions are Java  
2       bytecodes

1           175. The system of claim 167, wherein the registers of the register file of the  
2       central processing unit used to store the portion of operand stack is full of valid data.

1           176. The system of claim 175, wherein the at least one of the overflow or  
underflow indications is generated by a stack instruction pushing an operand or popping the  
operand from the operand stack.

1           177. The system of Claim 167, wherein the hardware unit has access to the data bus  
of the central processing unit.

1           178. The system of Claim 167, wherein the hardware unit is further adapted to store  
at least some Java variables in the register file.

1           179. The system of Claim 167, wherein the hardware unit is further adapted to store  
at least some Java registers in the register file.

1           180. The system of Claim 167, wherein the stack-based instructions are associated  
with a virtual machine.

1           181. The system of Claim 167, wherein the stack-based instructions are Java  
bytecode.

1           182. The system of Claim 167, wherein the hardware unit implements at least part  
2       of a Java virtual machine.



**21839**

(09/99)

1           183. The system of Claim 167, wherein the hardware unit is connected between a  
2 memory and the central processing unit.

1           184. The system of Claim 183, wherein the hardware unit is connected between an  
2 instruction cache and the central processing unit.

1           185. The system of Claim 167, wherein the hardware unit is adapted to manage a  
2 Java stack.

1           186. The system of Claim 167, wherein the hardware unit has access to at least one  
2 bus of the central processing unit.

1           187. The system of Claim 167, wherein the hardware unit is adapted to examine the  
2 stack-based instructions to determine whether multiple stack-based instructions can be  
3 combined into fewer register-based instructions.

1           188. The system of Claim 187, wherein the hardware unit produces register-based  
2 instructions that access the portion of the operand stack in the register file so as to reduce the  
3 number of register-based instructions that would otherwise be required.

1           189. The system of Claim 187, wherein multiple stack-based instructions pass  
2 through the hardware unit concurrently to allow for the operation of the combining logic.

1           190.         The system of Claim 167, wherein the hardware unit is adapted to  
2 convert multiple Java bytecodes into a single register-based instruction.



**21839**

(09/99)

1           191. The system of Claim 190, wherein the multiple Java bytecodes include a basic  
2        operand instruction and one or more stack manipulation instructions.

1           192. The system of Claim 190, wherein the multiple Java bytecodes includes a load  
2        or store instruction.

1           193. The system of Claim 167, wherein the central processing unit and hardware unit  
2        are on the same chip.

1           194. The system of Claim 167, wherein the hardware unit produces an exception  
2        upon at least one of the stack-based instructions, and wherein the central processing unit will,  
3        in software, translate the at least one of the stack-based instructions causing the exception.

1           195. The system of claim 167, wherein the hardware unit includes logic to keep a  
2        count of how many entries have been placed on the operand stack.

1           196.         The system of claim 167, wherein the hardware unit includes logic that  
2        keeps track of portions of the Java operand stack stored in the register file and when a Java  
3        bytecode to be translated references an element of the Java operand stack stored in a register  
4        of the register file, the hardware unit produces an indication of that register to be used in the  
5        translation process.

1           197. The system of claim 167, wherein the hardware unit keeps track of a top of  
2        stack register location, wherein the top of stack register in the register file is not fixed and can  
3        change as a result of an executed instruction.



**21839**

(09/99)

1           198. The system of claim 167, wherein the hardware subunit keeps track of which  
2 registers in the register file contain portions of the Java operand stack, the meaning of the  
3 registers being able to change as a result of an executed instruction.

1           199. The system of claim 168, wherein the central processing unit includes an  
2 execution unit to execute the register-based instructions.

1           200. The system of claim 167, wherein the translated register-based instructions are  
2 produced internally within the central processing unit.

1           201. The system of claim 167, wherein register-based instructions cause the  
2 manipulation of the register file.

1           202. A central processing unit comprising:  
2           an input adapted to receive stack-based instructions;  
3           a register file adapted to be manipulated using register-based instructions, the register  
4 file adapted to store a portion of an operand stack; and  
5           a hardware subunit adapted to convert stack-based instructions into register-based  
6 instructions, wherein the hardware subunit is adapted to produce at least one of overflow or  
7 underflow indications for the portion of the operand stack stored in the register file.

1           203. The central processing unit of claim 202, wherein, the stack-based instructions  
2 are Java bytecodes.

1           204. The central processing unit of Claim 202, wherein the hardware subunit is  
2 adapted to swap parts of the operand stack in and out of the register file from a memory.



**21839**

(09/99)

1           205. The central processing unit of Claim 202, wherein the hardware subunit is  
2 further adapted to store at least some Java variables in the register file.

1           206. The central processing unit of Claim 202, wherein the hardware subunit is  
2 further adapted to store at least some Java registers in the register file.

1           207. The central processing unit of Claim 202, wherein the central processing unit  
2 includes an indication of the depth of the portion of operand stack stored in the register file.

1           208. The central processing unit of Claim 202 wherein the indication of the operand  
2 stack depth is stored in the hardware subunit.

1           209. The central processing unit of Claim 202 wherein a overflow or underflow  
2 produces operand transfer between the register file in the central processing unit and memory.

1           210. The central processing unit of Claim 202 wherein, the stack-based instructions  
2 are Java bytecodes.

1           211. The central processing unit of Claim 202, wherein the registers of the register  
2 file of the central processing unit used to store the portion of operand stack is full of valid data.

1           212. The central processing unit of Claim 202, wherein the at least one of the  
2 overflow or underflow indications is generated by a stack instruction pushing an operand or  
3 popping the operand from the operand stack.

1           213. The central processing unit of Claim 202, wherein the stack-based instructions  
2 are associated with a virtual machine.



**21839**

(09/99)

1           214. The central processing unit of Claim 202, wherein the stack-based instructions  
2        are Java bytecodes.

1           215. The central processing unit of Claim 202, wherein the hardware subunit  
2        implements at least part of a Java virtual machine.  
3  
4        5        6        7        8        9        0        1        2        3        4        5        6        7        8        9  
10      11      12      13      14      15      16      17      18      19      20      21      22      23      24      25      26      27      28      29      30

1           216. The central processing unit of Claim 202, wherein the hardware subunit is  
2        adapted to manage a Java stack.  
3  
4        5        6        7        8        9        0        1        2        3        4        5        6        7        8        9  
10      11      12      13      14      15      16      17      18      19      20      21      22      23      24      25      26      27      28      29      30

1           217. The central processing unit of Claim 202, wherein the hardware subunit has  
2        access to at least one bus of the central processing unit.  
3  
4        5        6        7        8        9        0        1        2        3        4        5        6        7        8        9  
10      11      12      13      14      15      16      17      18      19      20      21      22      23      24      25      26      27      28      29      30

1           218. The central processing unit of Claim 202, wherein the hardware subunit is  
2        adapted to examine the stack-based instructions to determine whether multiple stack-based  
3        instructions can be combined into fewer register-based instructions.  
4  
5        6        7        8        9        0        1        2        3        4        5        6        7        8        9  
10      11      12      13      14      15      16      17      18      19      20      21      22      23      24      25      26      27      28      29      30

1           219. The central processing unit of Claim 218, wherein the hardware subunit  
2        produces register-based instructions that access the portion of the operand stack in the register  
3        file so as to reduce the number of register-based instructions that would otherwise be required.  
4  
5        6        7        8        9        0        1        2        3        4        5        6        7        8        9  
10      11      12      13      14      15      16      17      18      19      20      21      22      23      24      25      26      27      28      29      30

1           220. The central processing unit of Claim 218, wherein multiple stack-based  
2        instructions pass through the hardware subunit concurrently to allow for the operation of the  
3        combining logic.  
4  
5        6        7        8        9        0        1        2        3        4        5        6        7        8        9  
10      11      12      13      14      15      16      17      18      19      20      21      22      23      24      25      26      27      28      29      30

1           221. The central processing unit of Claim 202, wherein the hardware subunit is  
2        adapted to convert multiple Java bytecodes into a single register-based instruction.  
3  
4        5        6        7        8        9        0        1        2        3        4        5        6        7        8        9  
10      11      12      13      14      15      16      17      18      19      20      21      22      23      24      25      26      27      28      29      30



**21839**

(09/99)

1           222. The central processing unit of Claim 221, wherein the multiple Java bytecodes  
2 include a basic operand instruction and one or more stack manipulation instructions.

1           223. The central processing unit of Claim 221, wherein the multiple Java bytecodes  
2 includes a load or store instruction.

1           224. The central processing unit of Claim 202, wherein the hardware subunit  
2 produces an exception upon at least one of the stack-based instructions, and wherein the central  
3 processing unit will, in software, translate the at least one of the stack-based instructions  
4 causing the exception.

1           225. The system of claim 202, wherein the hardware subunit includes logic to keep  
2 a count of how many entries have been placed on the operand stack.

1           226. The system of claim 202, wherein the hardware subunit includes logic that keeps  
2 track of Java variables stored in the register file and when a Java bytecode to be translated  
3 references a Java variable stored in a register of the register file, the hardware subunit  
4 produces an indication of that register to be used in the translation process.

1           227. The system of claim 202, wherein the hardware subunit includes logic that keeps  
2 track of portions of the Java operand stack stored in the register file and when a Java bytecode  
3 to be translated references an element of the Java operand stack stored in a register of the  
4 register file, the hardware unit produces an indication of that register to be used in the  
5 translation process.



**21839**

(09/99)

1           228. The system of claim 202, wherein the hardware subunit keeps track of which  
2 registers in the register file contain portions of the Java operand stack, the meaning of the  
3 registers being able to change as a result of an executed instruction.

1           229. The system of claim 202, wherein the central processing unit includes an  
2 execution unit to execute the register-based instructions.

1           230. The system of claim 202, wherein the translated register-based instructions are  
2 produced internally within the central processing unit.

1           231. A system comprising:  
2           an execution unit associated with a register file, the execution unit adapted to execute  
3 decoded instructions; and  
4           hardware adapted to receive Java bytecodes and native non-Java instructions and  
5 adapted to produce decoded instructions to the execution unit, the hardware including a java  
6 hardware unit adapted to store at least one Java variable in the register file at a location  
7 separate from any operand stack, wherein at least one of the decoded instructions reference a  
8 register in the central processing unit's register file containing one of the at least one Java  
9 Variable, wherein a portion of the operand stack is stored in the register file and wherein the  
10 hardware unit is adapted to produce at least one of overflow or underflow indications for the  
11 portion of the operand stack stored in the register file.

1           232. The system of claim 231, wherein the execution unit and associated register file  
2 are part of a central processing unit.

1           233. The system of claim 232, wherein the java hardware unit is part of the central  
2 processing unit.



**21839**

(09/99)

1           234. The system of claim 232, wherein the java hardware unit is outside of the  
2 central processing unit.

1           235. The system of claim 234, wherein the java hardware unit is further adapted to  
2 translate Java bytecodes into native instructions.

1           236. The system of claim 235, wherein the hardware includes portions of the central  
2 processing unit, the portions including a decoder.

1           237. The system of claim 231, wherein the java hardware unit is further adapted to  
2 translate Java bytecodes into native instructions.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: \_\_\_\_\_

  
Joseph P. O'Malley  
Registration No. 36,226  
Redwood Shores, CA office  
Tel: (650) 622-2333

P.O. Box 1404  
Alexandria, Virginia 22313-1404

Date: August 23, 2001



**21839**

(09/99)

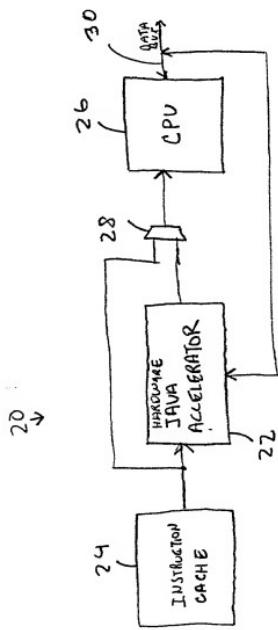


FIGURE 1

F0428D-98283660

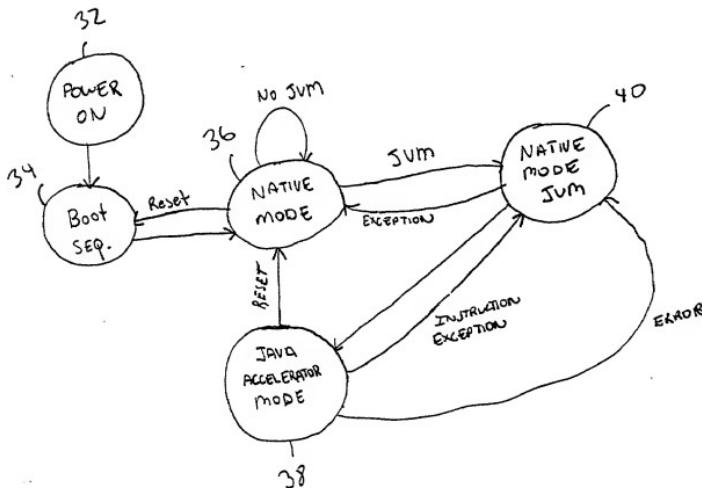


FIGURE 2

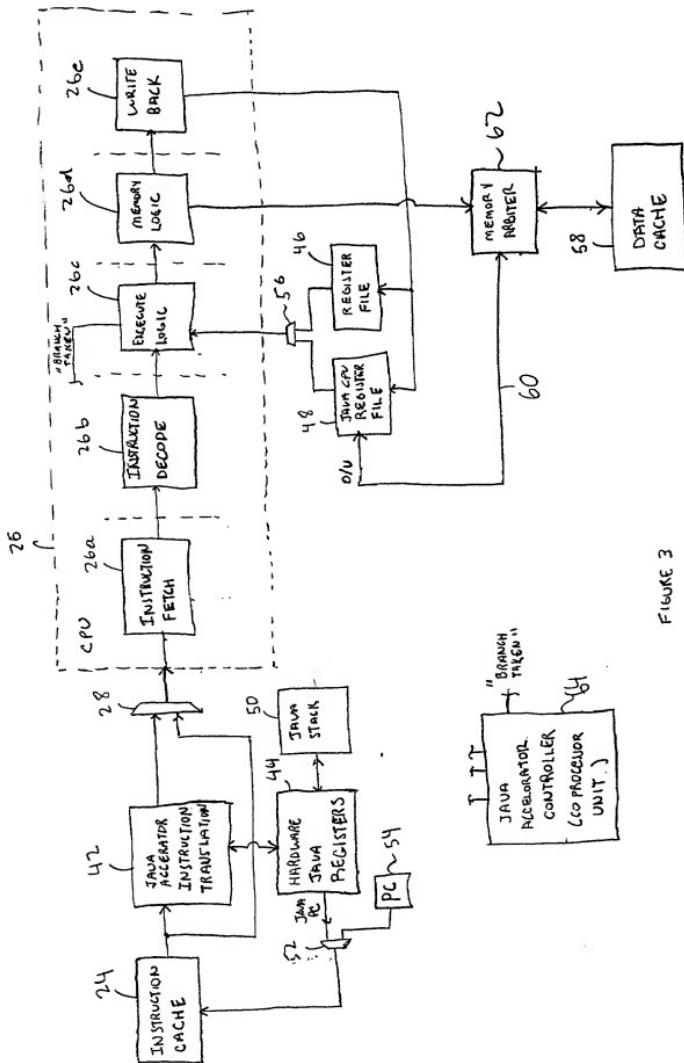


FIGURE 3

TOPS  
88000

K04280 98882660

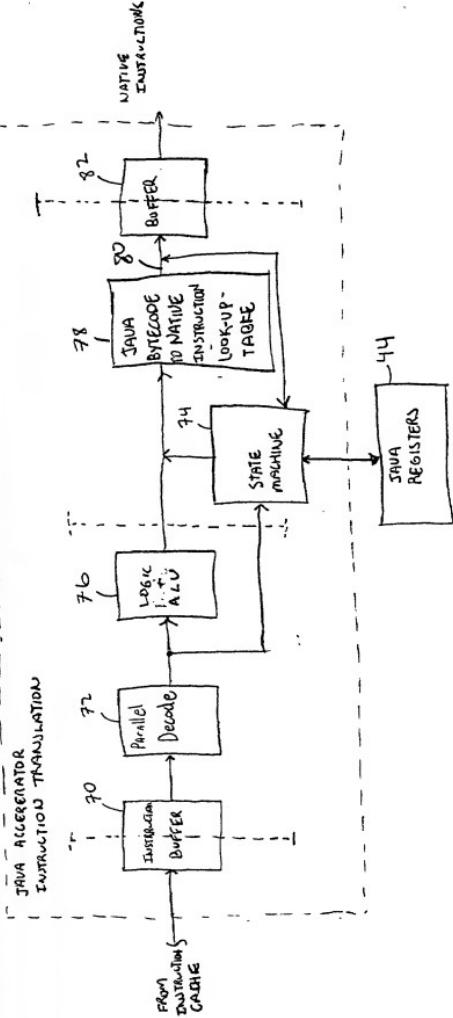


FIGURE 4

## I. INSTRUCTION TRANSLATION

JAVA  
BYTECODE



iadd

NATIVE  
INSTRUCTION

ADD R1, R2

## II. JAVA REGISTER

PC = VALUE A : .

OPTOP = VALUE B -

(R1)

VAR = VALUE C

PC = VALUE A + 1

OPTOP = VALUE B - 1

(R2)

VAR = VALUE C

## III. JAVA CPU REGISTER FILE

- R0 0001  
contains value → R1 0150  
at top of operand stack R2 1210  
MACK R3 0007  
R4 0005  
R5 0006  
contains → R6 1221  
first variable R7 1361



Not a valid stack view → R1 0150  
contains → R2 1360  
value of the top of operand stack R3 0007  
R4 0005  
R5 0006  
R6 1221  
R7 1361

## IV. MEMORY

OPTOP = VALUE B → 0150  
(VALUE B-1) - 1210  
- 0007  
- 0005  
- 0006  
- 0001  
- 4427



- 0150  
OPTOP = VALUE B - 1 - 1360  
- 0007  
- 0005  
- 0006  
- 0001  
- 4427

VAR = VALUE C - 1221  
- 1361  
- 1101

VAR = VALUE C - 1221  
- 1361  
- 1101

FIGURE 5

## I. INSTRUCTION TRANSLATION

JAVA BYTECODE

iLoad\_n  
iAdd

NATIVE INSTRUCTION

ADD R6, R1

## II. JAVA REGISTER

PC = VALUE A  
OPTOP = VALUE B  
(R1)  
VAR = VALUE C

⇒ PC = VALUE A + 2  
OPTOP = VALUE B  
(R1)  
VAR = VALUE C

## III. JAVA CPU REGISTER FILE

contains → R0 0001  
value of top of stack → R1 0150  
of stack → R2 1210  
of stack → R3 0007  
R4 0005  
R5 0006  
contains → R6 1221  
first variable → R7 1361

R0 0001  
contains → R1 1271  
value R2 1210  
of top R3 0007  
of stack R4 0005  
R5 0006  
contains → R6 1221  
first variable → R7 1361

## IV. MEMORY

OPTOP = VALUE B - 0150  
- 1210  
- 0007  
- 0005  
- 0006  
- 0001  
- 4427

OPTOP = VALUE B - 1371  
- 1210  
- 0007  
- 0005  
- 0006  
- 0001  
- 4427

VAR = VALUE C - 1221  
- 1361  
- 1101

VAR = VALUE C - 1221  
- 1361  
- 1101

FIGURE 6

Opcodes Mnemonic	Opcode xHH	Excep Gen
nop	0x00	
acconst_null	x01	
iconst_m1	x02	
iconst_n(0-5)	x03 - x08	
iconst_n(0-1)	x09 - x0a	
fconst_n(0-2)	x0c - x0d	
dconst_n(0-1)	x0e - x0f	
bipush	x10	
sipush	x11	
ldc	x12	y
ldc_w	x13	y
ldc2_w	x14	y
lload	x15	
lload	x16	
fload	x17	
dload	x18	
aload	x19	
iload_n(0-3)	x1a - x1d	
lload_n(0-3)	x1e - x21	
fload_n(0-3)	x22 - x25	
dload_n(0-3)	x26 - x29	
aload_n(0-3)	x2a - x2d	
iaload	x2e	
laload	x2f	
faload	x30	
daload	x31	
aaload	x32	
baload	x33	
caload	x34	
saload	x35	
istore	x36	
lstore	x37	
fstore	x38	
dstore	x39	
astore	x3a	
istore_n(0-3)	x3b - x3e	
lstore_n(0-3)	x3f - x42	
fstore_n(0-3)	x43 - x46	
dstore_n(0-3)	x47 - x4a	
astore_n(0-3)	x4b - x4e	
iastore	x4f	
lastore	x50	
fastore	x51	
dastore	x52	
bastore	x53	
aastore	x54	
castore	x55	
sastore	x56	

FIGURE 7A

0000000000000000

.pop	x57	
.pop2	x58	
.dup	x59	
.dup_x1	x5a	
.dup_x2	x5b	
.dup2	x5c	
.dup2_x1	x5d	
.dup2_x2	x5e	
.swap	x5f	
.iadd	x60	
.ladd	x61	
.fadd	x62	y
.dadd	x63	y
.isub	x64	
.lsub	x65	
.fsub	x66	y
.dsub	x67	y
.imul	x68	
.lmul	x69	
.fmul	x6a	y
.dmul	x6b	y
.idiv	x6c	y
.ldiv	x6d	y
.fdiv	x6e	y
.ddiv	x6f	y
.irem	x70	y
.lrem	x71	y
.frem	x72	y
.drem	x73	y
.ineg	x74	
.lneg	x75	
.fneg	x76	y
.dneg	x77	y
.lshi	x78	
.lshl	x79	
.lshr	x7a	
.lushr	x7b	
.lushr	x7c	
.lushr	x7d	
.iand	x7e	
.land	x7f	
.ior	x80	
.lor	x81	
.ixor	x82	
.lxor	x83	
.iinc	x84	
.l2l	x85	y
.l2f	x86	y
.l2d	x87	y
.l2i	x88	y
.l2f	x89	y
.l2d	x8a	y

FIGURE 7B

f2i	x8b	y
f2l	x8c	y
f2d	x8d	y
d2i	x8e	y
d2l	x8f	y
d2f	x90	y
i2b	x91	
i2c	x92	
i2s	x93	
icmp	x94	y
fcmpl	x95	y
fcmpg	x96	y
dcmpl	x97	y
dcmpg	x98	y
ifeq	x99	
ifne	x9a	
iflt	x9b	
ifge	x9c	
ifgt	x9d	
ifle	x9e	
if_acmpeq	x9f	
if_acmpne	xa0	
if_icmplt	xa1	
if_acmpge	xa2	
if_cmpgt	xa3	
if_icmple	xa4	
if_acmeq	xa5	
if_acmpne	xa6	
goto	xa7	
jsr	xa8	
ret	xa9	
tableswitch	xaa	y
lookupswitch	xab	y
ireturn	xac	
lreturn	xad	
freturn	xae	
dreturn	xaf	
areturn	xb0	
return	xb1	
getstatic	xb2	y
putstatic	xb3	y
getfield	xb4	y
putfield	xb5	y
invokevirtual	xb6	y
invokespecial	xb7	y
invokestatic	xb8	y
invokeinterface	xb9	y
xxunboxedxx	xba	y
new	xbb	y
newarray	xbc	y
anewarray	xbd	y
arraylength	xbe	y

FIGURE 7C

athrow	xbf	y
checkcast	xco	y
instanceof	xc1	y
monitorenter	xc2	y
monitorexit	xc3	y
wide	xc4	y
multianewarray	xc5	y
ifnull	xc6	y
ifnonnull	xc7	y
goto_w	xc8	
jsr_w	xc9	
ldc_quick	xcb	y
ldc_w_quick	xcc	y
ldc2_w_quick	xcd	y
getfield_quick	xce	y
putfield_quick	xcf	y
getfield2_quick	xd0	y
putfield2_quick	xd1	y
getstatic_quick	xd2	y
putstatic_quick	xd3	y
getstatic2_quick	xd4	y
putstatic2_quick	xd5	y
invokevirtual_quick	xd6	y
invokenonvirtual_quick	xd7	y
invokesuper_quick	xd8	y
invokestatic_quick	xd9	y
invokeinterface_quick	xda	y
invokevirtualobject_quick	xdb	y
new_quick	xdc	y
anewarray_quick	xde	y
multianewarray_quick	xdf	y
checkcast_quick	xe0	y
instanceof_quick	xe1	y
invokevirtual_quick_w	xe2	y
getfield_quick_w	xe3	y
putfield_quick_w	xe4	y
breakpoint	xca	y
impdep1	xfe	y
impdep2	xff	y

FIGURE 7 D